

**Lemma 6.1.** Let  $L \supset K$  be a normal extension and  $F$  an intermediate field  $K \subset F \subset L$ .

a) Let  $p(t) \in K[t]$  be an irreducible polynomial,  $\alpha, \alpha' \in L$  such that  $p(\alpha) = p(\alpha') = 0$ . Then there exists an automorphism  $\eta \in \text{Gal}(L/K)$  such that  $\eta(\alpha) = \alpha'$ ,

b) Let  $L \supset K$  be a normal extension, and  $\eta_F : F \rightarrow L$  a  $K$ -homomorphism. Then there exists an automorphism  $\eta \in \text{Gal}(L/K)$  such that  $\eta(\beta) = \eta_F(\beta), \forall \beta \in F$ ,

c) the extension  $L : F$  is normal.

**Remark.** a) is a special case of b). Really we can take  $F = K(\alpha)$  and define  $\eta_F : F \rightarrow L$  by  $\eta_F(\alpha) = \alpha'$ .

I'll prove only the part a) and leave parts b) and c) as a homework.

**Proof of a).** We can find  $\alpha_2, \dots, \alpha_n \in L$  such that  $L = K(\alpha_1, \alpha_2, \dots, \alpha_n)$  where  $\alpha_1 := \alpha$ . By Lemma 3.3 there exists a  $K$ -homomorphism  $\eta_1 : K(\alpha_1) \rightarrow L$  such that  $\eta_1(\alpha_1) = \alpha'$ .

**Claim.** There exists a  $K$ -homomorphisms  $\eta_i : K(\alpha_1, \alpha_2, \dots, \alpha_i) \rightarrow L, 1 \leq i \leq n$  such that  $\eta_i$  is an extension of  $\eta_{i-1}, 2 \leq i \leq n$ .

**Proof of the Claim.** We will prove the existence of a  $K$ -homomorphism  $\eta_2 : K(\alpha_1, \alpha_2) \rightarrow L$  which extends  $\eta_1$ . The general case is easily done by induction.

Let  $p(t) := \text{Irr}(\alpha_2, K, t) \in K[t]$  and

$q(t) := \text{Irr}(\alpha_2, K(\alpha_1), t) \in K(\alpha_1)[t]$ . By the definition  $p(\alpha_2) = 0$  and  $q(t)$  is irreducible in  $K(\alpha_1)[t]$ . Therefore  $q(t) | p(t)$ . Since  $p(t)$  has a root in  $L$  and the field  $L$  is normal we see that  $p(t)$  decomposes in  $L[t]$  in a product of linear factors. Since  $q(t) | p(t)$  we see that  $q(t)$  also decomposes in  $L[t]$  in a product of linear factors. So we can find a  $\alpha'_2 \in L$  such that  $q(\alpha'_2) = 0$ . It follows now from Lemma 3.3 that there exists an extension  $\eta_2 : K(\alpha_1, \alpha_2) \rightarrow L$  of  $\eta_1 : K(\alpha_1) \rightarrow L$  such that  $\eta_2(\alpha_2) = \alpha'_2$ .  $\square$

To finish the proof of Lemma 6.1 we have to show that  $\eta_n : L \rightarrow L$  is an automorphism. But we know that  $\eta_n : L \rightarrow L$  is a  $K$ -linear map such that  $\text{Ker}(\eta_n) = \{0\}$ . Since  $[L : K] < \infty$  this implies that  $\eta_n : L \rightarrow L$  is an automorphism.  $\square$

**Lemma 6.2.** Let  $L \supset K$  be a finite normal extension,  $p = \text{ch}(K)$ ,  $\alpha \in L$  an element such that for any  $K$ -homomorphism  $f : K(\alpha) \rightarrow L$  we have  $f(\alpha) = \alpha$ . Then either  $\alpha \in K$  or  $p \geq 0$  and there exists  $n > 0$  such that  $\alpha^{p^n} \in K$ .

**Proof.** As we know from Lemma 3.3 the set of  $K$ -homomorphism  $f : K(\alpha) \rightarrow L$  can be identified with the set of roots of the polynomial  $p(t) := Irr(\alpha, K, t)$  in  $L$ . So we see that all the roots of  $p(t)$  in  $L$  are equal to  $\alpha$ . Since the field  $L$  is normal we know that  $p(t)$  decomposes in a product of linear factors in  $L[t]$ . So  $p(t) = (t - \alpha)^m$  where  $m = \deg(p(t))$ .

Consider first the case when  $\text{ch}(K) = 0$ . Then

$$p(t) = (t - \alpha)^m = t^m - m\alpha t^{m-1} + \dots$$

where we omit the lower terms. Since  $p(t) \in K[t]$  we have  $m\alpha \in K$ . By the assumption  $\text{ch}(K) = 0$  and we can divide by  $m$ . So  $\alpha \in K$ .

Assume now that  $\text{ch}(K) = p > 0$ . I claim that there exists  $n \geq 0$  such that  $m = p^n$ . Really write  $m = p^n r$  where  $r$  is prime to  $p$ . Then we have

$$p(t) = ((t - \alpha)^{p^n})^r = (t^{p^n} - \alpha^{p^n})^r = t^{p^n r} - r\alpha^{p^n} t^{p^n(r-1)r} + \dots$$

where we omit the lower terms.

Since  $p(t) \in K[t]$  we see that  $r\alpha^{p^n} \in K$ . Since  $r$  is prime to the characteristic  $p$  of  $K$  we can divide by  $r$ . Therefore  $\alpha^{p^n} \in K$ .  $\square$

**Lemma 6.3.** Let  $F \supset K$  be an extension such that any element  $\alpha \in F$  is algebraic over  $K$  and every monic polynomial  $p(t) \in K[t]$  splits in  $F[t]$  into a product of linear factors. Then the field  $F$  is algebraically closed.

**Proof.** We want to show that any monic polynomial  $r(t) = \sum_{i=0}^n c_i t^i \in F[t], n > 0$  has a root in  $F$ . Let  $L = K(c_0, \dots, c_{n-1})$ . Since every element in  $F$  is algebraic over  $K$  we see that  $[L : K] < \infty$ .

Let  $\alpha_i, 1 \leq i \leq n$  be a basis of  $L$  over  $K$ . For any  $i, 1 \leq i \leq n$  we define  $p_i(t) := Irr(\alpha_i, K, t) \in K[t]$  and then define  $q(t) := \prod_{i=1}^n p_i(t)$ . Let  $\beta_j \in F, 1 \leq j \leq a$  be the set of roots of  $q(t)$  in  $F$  and  $N = K(\beta_1, \dots, \beta_a) \subset F$ . Since  $q(t)$  splits in  $F[t]$  into a product of factors of the type  $t - \beta_j$  we see that  $N$  is a splitting field of  $q(t)$  over  $K$ . So [by Theorem 4.2]  $N : K$  is normal.

Let  $X$  be the set of all  $K$ -homomorphisms  $f : L \rightarrow N$ . The group  $Gal(N/K)$  of the automorphisms of  $N$  over  $K$  acts on the set  $X$  by  $f \rightarrow g(f), g \in Gal(N/K)$  where  $g(f)(l) := g(f(l)), l \in L$ .

For any  $f \in X$  we define  $p_f(t) := \sum_{i=0}^n f(c_i) t^i \in N[t]$  and define

$$R(t) := \prod_{f \in X} p_f(t) \in N[t]$$

Let us write  $R(t) = \sum_{i=0}^d r_i t^i, r_i \in N$ . I claim that for any  $g(r_i) = r_i$  for any  $g \in \text{Gal}(L : K), 1 \leq i \leq d$ . Really when we act by  $g$  on  $R(t)$  we only interchange the order of the factors in the product  $R(t) := \prod_{f \in X} p_f(t)$ . As follows from Lemma 6.2 either  $R(t) \in K[t]$  or  $\text{ch}(K) := p > 0$  and there exists  $n > 0$  such that  $c_i^{p^n} \in K, \forall i, 1 \leq i \leq d$ . But in this case  $R(t)^{p^n} = \sum_{i=0}^d r_i^{p^n} t^i \in K[t]$ .

We see that there exists  $m > 0$  such that  $R(t)^m \in K[t]$ . Therefore the polynomial  $R(t)^m \in K[t]$  splits in  $F[t]$  into a product of factors. So any divisor of the polynomial  $R(t)$  also splits in  $F[t]$  into a product of linear factors. Since  $p(t) = p(t)_{Id}$  is a divisor of  $R(t)$  we see that  $p(t)$  has a root in  $F$ .  $\square$

**Definition 6.1.** Let  $K$  be a field. An *algebraic closure* of  $K$  is an extension  $\bar{K} \supset K$  which is algebraically closed and such that any element  $\alpha \in \bar{K}$  is algebraic over  $K$ .

**Remark.** If  $L \supset K$  is a finite extension that any algebraic closure  $\bar{L}$  of  $L$  is also an algebraic closure  $\bar{K}$ .

**Theorem 6.1.** Let  $K$  be a field. Then

- a) there exists an algebraic closure  $\bar{K}$  of  $K$ ,
- b) if  $\bar{K}' \supset K$  is another algebraic closure of  $K$  then there exists a  $K$ -isomorphism  $\eta : \bar{K} \rightarrow \bar{K}'$ .

**Proof.** I'll consider only the case when the field  $K$  is countable. In this case the set of polynomials  $q(t) \in K[t]$  is also countable. So we can write a sequence  $q_n(t) \in K[t], n > 0$  of monic polynomials such that any monic polynomial appears in this sequence. Now we construct an sequence of fields  $L_n, n \geq 0$  and imbeddings  $L_n \hookrightarrow L_{n+1}$  as follows. Let  $L_0 = K$  and  $L_n$  be a splitting field of the polynomial  $q_n(t)$  over  $L_{n-1}$ . We define  $\bar{K} := \cup_{n=0} L_n$ . It is clear that the field  $\bar{K}$  satisfies the conditions of Lemma 6.3. So  $\bar{K}$  algebraically closed. Since all the fields  $L_n$  are finite over  $K$  any element of  $\bar{K}$  is algebraic over  $K$ . So  $\bar{K}$  is an algebraic closure of  $K$ .

Before discussing the uniqueness of an algebraic closure we consider the following useful result.

**Lemma 6.4.** Let  $p(t) \in K[t]$  be an irreducible polynomial,  $\alpha, \alpha' \in \bar{K}$  be roots of  $p(t)$ . Then there exists an automorphism  $\eta \in \text{Gal}(\bar{K}/K)$  such that  $\eta(\alpha) = \alpha'$ .

**Proof of Lemma 6.4.** Let  $n \geq 0$  be an index such that  $\alpha, \alpha' \in L_n$ . Since the field  $L_n$  is normal over  $K$  it follows from Lemma 6.1 a) that there exists an automorphism  $\eta_n : L_n \rightarrow L_n$  such that  $\eta_n(\alpha) = \alpha'$ .

It follows now from Lemma 6.1 b) that there exists an automorphism  $\eta_{n+1} : L_{n+1} \rightarrow L_{n+1}$  whose restriction on  $L_n$  is equal to  $\eta_n$ . Putting together all the automorphisms  $\eta_m : L_m \rightarrow L_m, m \geq n$  we obtain an automorphism  $\eta \in \text{Gal}(\bar{K}/K)$  such that  $\eta(\alpha) = \alpha'$ .  $\square$

Now we can prove the second part of the Theorem 6.1. Let  $\bar{K}' \supset K$  be another algebraic closure of  $K$ . Since the field  $\bar{K}'$  is an algebraic closure of  $K$ , it follows from Lemma 3.3 that any  $K$ -homomorphism  $\nu_i : L_i \rightarrow \bar{K}'$  can be extended to a homomorphism  $\nu_{i+1} : L_{i+1} \rightarrow \bar{K}'$ . Putting the homomorphism  $\nu_i : L_i \rightarrow \bar{K}'$  together we obtain a  $K$ -homomorphism  $\nu : \bar{K} \rightarrow \bar{K}'$ .

To show that the  $K$ -homomorphism  $\nu : \bar{K} \rightarrow \bar{K}'$  is an isomorphism it is sufficient to prove that for any  $\alpha' \in \bar{K}'$  there exists  $\alpha \in \bar{K}$  such that  $\nu(\alpha) = \alpha'$ .

By the definition of an algebraic closure any  $\alpha' \in \bar{K}'$  is algebraic over  $K$  and we can consider an irreducible polynomial  $p(t) := \text{Irr}(\alpha', K, t) \in K[t]$ . Since the field  $\bar{K}$  is algebraically closed there exists  $\alpha \in \bar{K}$  such that  $p(\alpha) = 0$ . Choose  $n \geq 0$  such that  $\alpha \in L_n$  and define  $L'_n := \nu(L_n) \subset \bar{K}'$ . Since the field  $L_n$  is normal over  $K$  the irreducible polynomial  $p(t)$  can be written as a product

$$p(t) = \prod_{i=0}^r (t - \alpha_i)^{m_i}, \alpha_i \in L_n, \alpha_1 = \alpha$$

Therefore

$$\nu(p(t)) = \prod_{i=0}^r (t - \nu(\alpha_i))^{m_i}$$

Since  $p(t) \in K[t]$  we have  $\nu(p(t)) = p(t)$  and therefore

$$p(t) = \prod_{i=0}^r (t - \nu(\alpha_i))^{m_i}$$

Since  $\alpha'$  is a root of  $p(t)$  in  $L'_n$  we see that  $\alpha' = \nu(\alpha_i)$  for some  $i, 1 \leq i \leq r$ .  $\square$

**Definition 6.2.** Let  $L \supset K$  be a finite extension and  $\bar{K}$  an algebraic closure of  $K$  [which is also an algebraic closure of  $L$ , see the Remark after the definition 6.1].

a) We denote by  $H(L/K)$  the set of  $K$ -homomorphisms of  $L$  to  $\bar{K}$ .

b) we denote by  $[L : K]_s$  the number of elements in the set  $H(L/K)$  and say that  $[L : K]_s$  is the *separable degree* of  $L$  over  $K$ .

**Remark.** It follows from Theorem 6.1 this set does not depend on a choice of an algebraic closure  $\bar{K}$  of  $K$ .

**Lemma 6.5.** Let  $K \subset F \subset L$  be finite field extensions. Then  $[L : K]_s = [L : F]_s [F : K]_s$

**Proof .** For any  $K$ -homomorphism  $g \in H(F/K)$  we denote by  $H(L/K)_g \subset H(L/K)$  the subset of  $K$ -homomorphism  $f \in H(L/K)$  such that  $f(\alpha) = g(\alpha)$  for all  $\alpha \in F$ . It is clear that  $H(L/K)_{Id} = H(L/F)$  and that

$$H(L/K) = \cup_{g \in H(F/K)} H(L/K)_g$$

Therefore

$$[L : K]_s = \sum_{g \in H(F/K)} |(H(L/K)_g)|$$

**Claim.** For any  $g \in H(F/K)$  we have  $|(H(L/K)_g)| = |H(L/K)_{Id}|$ .

**Proof of the Claim.** Choose  $g \in H(F/K)$ . As follows from Lemma 6.4 there exists an isomorphism  $\tilde{g} : M \rightarrow M$  such that  $\tilde{g}(\alpha) = g(\alpha), \forall \alpha \in L$ . It is clear that

$$\tilde{g}(H(L/K)_{Id}) = (H(L/K)_g) \square$$

Now we can finish the proof of Lemma 6.5. Since  $H(L/K)_{Id} = H(L/F)$  we have  $|(H(L/K)_{Id})| = [L : F]_s$  and it follows from the Claim that  $|(H(L/K)_g)| = [L : F]_s, \forall g \in H(F/K)$ . So  $[L : K]_s = [L : F]_s [F : K]_s$ .  $\square$